## Inheritance and Contact in Central Kenya Bantu (CKB): <br> Qualitative Phonological Dialectology



| Language | Number |
| :--- | :--- |
| Gikuyu | 7 Mio. |
| Kamba | 4 Mio. |
| Meru etc. | 2 Mio. |
| Embu/Mbeere | 500.000 |
| Tharaka | 140.000 |
| Chuka | 70.000 |

Map 1: The location of CKB

| WESTERN |  | EMBU/ <br> MBEERE | CHUKA | MERU | IGOJI | NITHI | THARAKA |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | KAMBA

Table 1: Classification of Central Kenya Bantu (based on Möhlig and Heine 1980:14)

## The Dissertation Project in a Nutshell

- Survey of synchronic dialectal differences (quantitative dialectology)
- Distinguishing between inheritance and contact (qualitative dialectology)
- Correlating linguisting findings with extra-linguistic evidence


## The Structure of this Talk

1. An Introduction to the Quantitative Dialectology of CKB
2. Theories and Methods in Qualitative Dialectology
2.1 Language Change
2.2 Parameters in Qualitative Dialectology
3. Application of the Qualitative Methods: Inheritance and Contact in CKB
4. Conclusions

## 1. An Introduction to Quantitative Dialectology

## How similar are the dialects of CKB to each other?

- The varieties under scrutiny show considerable synchronic variation, e.g. in regard to the size of their phoneme inventories:

| MERU (22 consonants) | Labial | Dental | Alveolar | Retroflex | Palatal | Velar | Glottal |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Voiceless stops |  |  | $/ \mathrm{t} /$ |  |  | $/ \mathrm{k} /$ |  |
| Voiced stops | $/ \mathrm{b} /$ |  |  |  |  | $/ \mathrm{g} /$ |  |
| Prenasalized voiced stops | $/ \mathrm{mb} /$ |  | $/ \mathrm{nd} /$ |  |  | $/ \mathrm{ng} /$ |  |
| Prenasalized voiceless stops | $/ \mathrm{mp} /$ |  | $/ \mathrm{nt} /$ |  |  | $/ \mathrm{nk} /$ |  |
| Affricate |  |  | $/ \mathrm{c} /$ |  |  |  |  |
| Fricatives |  | $/ \mathrm{\delta} /$ | $/ \mathrm{j} /$ |  |  |  | $/ \mathrm{h} /$ |
| Prenasalized voiced fricatives |  | $/ \mathrm{n} \delta /$ | $/ \mathrm{nj} /$ |  |  |  |  |
| Prenasalized voiceless fricatives |  |  | $/ \mathrm{nc} /$ |  |  |  |  |
| Flap |  |  |  | $/ \mathrm{r} /$ |  |  |  |
| Nasals | $/ \mathrm{m} /$ |  | $/ \mathrm{n} /$ |  | $/ \mathrm{n} /$ | $/ \mathrm{n} /$ |  |

Table 2: The consonant system of Meru (Möhlig 1974: 77)

| EMBU (17 consonants) | Labial | Dental | Alveolar | Retroflex | Palatal | Velar | Glottal |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Voiceless stops |  |  | $/ \mathrm{t} /$ |  |  | $/ \mathrm{k} /$ |  |
| Voiced stops | $/ \mathrm{b} /$ |  |  |  |  | $/ \mathrm{g} /$ |  |
| Prenasalized stops | $/ \mathrm{mb} /$ |  | $/ \mathrm{nd} /$ |  |  | $/ \mathrm{ng} /$ |  |
| Affricate |  |  | $/ \mathrm{c} /$ |  |  |  |  |
| Fricatives |  | $/ \mathrm{J} /$ |  |  |  |  | $/ \mathrm{h} /$ |
| Prenasalized fricatives |  | $/ \mathrm{n} \delta /$ | $/ \mathrm{nj} /$ |  |  |  |  |
| Flap |  |  |  | $/ \mathrm{r} /$ |  |  |  |
| Nasals | $/ \mathrm{m} /$ |  | $/ \mathrm{n} /$ |  | $/ \mathrm{n} /$ | $/ \mathrm{n} / /$ |  |

Table 3: The consonant system of Embu (Möhlig 1974: 81)

- Meru and Embu show differences in phonetic realization:

|  | Meru (Imenti-Dialect) | Embu |
| :--- | :---: | :---: |
| /c/ | $[\mathrm{d} f]=$ voiced alveo-prepalatal affricate | $\left[\int\right]=$ voiceless prepalatal fricative |

Table 4: Phonetic realizations of /c/ in Meru and Embu

- Meru and Embu show differences in phonological rules:

|  | Meru (Imenti-Dialect) | Embu |
| :---: | :---: | :--- |
| $/ \mathrm{c} / \_/ \mathrm{i}, \mathrm{u} /$ | $[\mathrm{d} f]=$ voiced alveo-prepalatal affricate | $[\mathrm{ts}]=$ voiceless addental postalveolar affricate |

Table 5: Phonetic relaization of/c/ in front of the high vowels /i, $u /$ in Meru and Embu

Synchronic variation of the above kind may be systematically evaluated（＇measured＇）by applying the method of dialectometry．The different sound systems are correlated through recurrent sound correspondence，e．g．

| 020 neck | nki：ngo <br> ngi：ngo | Chuka，Meru，Tharaka <br> Gikuyu，Embu，Mbeere，Kamba |
| :---: | :---: | :---: |
| 045 heart | nkoro | Chuka，Meru，Tharaka |
|  | ngoro | Gikuyu，Embu，Mbeere |
|  | ngos | Kamba |

Table 6：＇neck＇and＇heart＇in Central Kenyan Bantu（attesting to series＊NK）

| $\boldsymbol{*}$＊NK is realized as $\mathbf{n k}$ | prenasalized，voiceless，velar plosive | （north of Thuci River） |
| ---: | :--- | :--- |
| $\mathbf{n g}$ | prenasalized，voiced，velar plosive | （south of Thuci River） |

The phonetic difference above is measured by applying the method of feature analysis （Jakobson et al．1952，Chomsky \＆Hall 1968）：

|  |  | WESTERN |  |  |  |  |  | $\begin{gathered} \text { EMBU/ } \\ \text { MBEERE } \end{gathered}$ |  |  | NITHI |  |  | MERU |  |  | THARAKA |  | KAMBA |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 010 | $\begin{aligned} & \text { च } \\ & \text { En } \\ & \text { En } \end{aligned}$ | $\stackrel{\stackrel{\pi}{E}}{\stackrel{y}{E}}$ | $\begin{aligned} & \text { Ty } \\ & \text { N } \end{aligned}$ |  | $\frac{. \tilde{Z}}{\mathbf{Z}}$ |  | $\frac{\bar{E}}{\underline{E}}$ |  | $\begin{aligned} & \text { تِ } \\ & \text { تِ } \end{aligned}$ |  | 首 | $\begin{aligned} & :=0 \\ & \text { 或 } \end{aligned}$ | E | $\begin{aligned} & \bar{Z} \\ & \frac{0}{E} \\ & \frac{1}{Z} \end{aligned}$ |  |  |  |  | $\begin{aligned} & \text { E } \\ & \\ & E \\ & E \end{aligned}$ | 无 |
| ＊NK | ［voice］ | ＋ | ＋ | ＋ | ＋ | ＋ | ＋ | ＋ | ＋ | － | － | － | － | － | － | － | － | － | ＋ | ＋ | ＋ |
| real | zed as | ng | ng | ng | ng | ng | ng | ng | ng | nk | nk | nk | nk | nk | nk | nk | nk | nk | ng | ng | ng |

Table 7：Feature Analysis of Correspondence Series＊NK
$\rightarrow$ Some dialects do not have $/ \mathrm{nk} /$ at their disposal，they use $/ \mathrm{ng} /$ instead．
In these dialects，$/ \mathrm{ng} /$ respresents two correspondence series $* \mathrm{NK}$ and ${ }^{*} \mathrm{NG}$ ．

| 002 head | kı．ong刀 | all of CKB |
| :--- | :--- | :--- |
| 030 back（of body） | mu．gong刀 | all of CKB except for |
|  | mu．ong刀 | Kamba |

Table 8：＇head＇and＇back＇in Central Kenya Bantu（attesting to series＊NG）
$\rightarrow * N G$ is represented by $/ \mathrm{ng} /$ all throughout CKB．
South of river Thuci（Western，Embu／Mbeere，Kamba），the two series＊NK and＊NG are phonetically identical：

|  |  | WESTERN |  |  |  |  |  | EMBU／ MBEERE |  |  | NITHI |  |  | MERU |  |  | tharaka |  | KAMBA |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | \％ | $\begin{aligned} & \bar{Z} \\ & \text { En } \\ & \text { In } \end{aligned}$ |  | $\begin{aligned} & \text { Ty } \\ & \text { N } \end{aligned}$ |  | $\frac{\tilde{E}}{\mathbf{Z}}$ |  | E | $\begin{aligned} & 0 \\ & \text { D } \\ & \text { E } \end{aligned}$ |  |  | 首 |  | 品 | $\begin{aligned} & \frac{0}{\overline{1}} \\ & \frac{1}{Z} \end{aligned}$ |  |  |  |  | 关 | 星 |
| ＊NK | ［voice］ | $+$ | $+$ | ＋ | ＋ | $+$ | $+$ | ＋ | ＋ | － | － | － | － | － | － | － | － | － | ＋ | ＋ | $+$ |
| ＊NG | ［voice］ | ＋ | ＋ | ＋ | ＋ | ＋ | ＋ | ＋ | ＋ | ＋ | ＋ | ＋ | ＋ | ＋ | ＋ | ＋ | ＋ | ＋ | ＋ | ＋ | ＋ |

Table 9：Feature Analysis of the two Correspondence Series＊NK and＊NG
$\rightarrow$ The Western dialects as well as Embu－Mbeere and Kamba show smaller phoneme inventories than the rest of CKB（difference in size）－two series collaps in certain dialects！

In order to account for differences in phonological rules，relevant correspondence series are set up， e．g．$/ \mathrm{mb} / \_\mathrm{i}, \mathrm{u} />[\mathrm{mv}]$ in Embu（while all other varieties show［mb］）：

|  |  | WESTERN |  |  |  |  |  | EMBU／ MBEERE |  |  | NITHI |  |  | MERU |  |  | Tharaka |  | KAMBA |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 或 |  | $\frac{\stackrel{\pi}{E}}{\stackrel{\rightharpoonup}{E}}$ | $$ |  | $\frac{\tilde{Z}}{\bar{Z}}$ |  | E | $\begin{aligned} & \mathscr{0} \\ & \dot{む} \\ & \dot{E} \end{aligned}$ |  |  |  | $\begin{aligned} & :=\stackrel{\rightharpoonup}{0} \\ & \text { en } \\ & \hline 0 \end{aligned}$ | 足 | $\begin{aligned} & \bar{Z} \\ & \frac{\bar{E}}{\bar{Z}} \end{aligned}$ | $\begin{aligned} & \ddot{H} \\ & \vec{E} \\ & \frac{1}{1} \end{aligned}$ |  |  |  | E <br> E <br> E | 老 |
| $\begin{aligned} & \text { *MB } \\ & / \mathrm{i}, \mathrm{u} / \end{aligned}$ | ［stop］ | ＋ | ＋ | ＋ | ＋ | ＋ | ＋ | － | ＋ | ＋ | ＋ | ＋ | ＋ | ＋ | ＋ | ＋ | ＋ | ＋ | ＋ | ＋ | ＋ |

Table 9：Feature Analysis of Correspondence Series＊MB／＿i，u／

In this study of CKB，a total of 42 correspondence series has been established $(=95$ feature series， 1.900 tokens in the database）．The dialectal differences are measured by counting concurrences in pair－comparison（which are registered in a distance matrix），cf．Möhlig（1974，1980）．

| Dialect A ：Dialect B | Dialect B ：Dialect C | Dialect C ：Dialect D |
| :--- | :--- | :--- |
| Dialect A ：Dialect C | Dialect B ：Dialect D |  |
| Dialect A ：Dialect D |  |  |

$\rightarrow$ Quantitative phono－dialectology（Dialectometry）systematically measures variation between different languages and dialects：
－phonetic differences
－phonological differences
－rule－based differences

The multidimensional scaling of the statistical outcome reveals four areas of relatively low phonological variation：


Diagram: The Phonological Distances within CKB (multidimensional scaling showing 4 areas of relatively low variation)

Note: Dialectometry measures synchronic variation! For any historical claims (e.g. how the areas of low variation have come into being), the data need to be analyzed qualitatively!

## 2. Theories and Methods in Qualitative Dialectology

If two (or more) dialects show no variation in regard to a specific linguistic feature, this may be due to (Aikhendvald \& Dixon 2006): - Universal Properties

- Chance
- Parallel Development
- Borrowing / Diffusion
- Genetic Retention

In other words, the two varieties must have undergone the same kind of language change, which may be induced vertically or horizontally

Inheritance
Shared Innovation

Contact
Borrowing / Diffusion

### 2.1 Language Change

- Language Change and the Size of Phoneme Inventories The phoneme system of any language variety may change its size (= number of contrasts) throughout history, both due to (a) internal developments and (b) language contact:
(1) a) Phonemic Split (increase)

2 Allophones $>2$ Phonemes, e.g.
Old Eng. [li:f] 'life' - [li:vlic] 'lively'
Modern Eng. /larf/ 'life' - /larv/ 'live'
(Hamann 2015: 250)
b) Loan Phoneme (increase), e.g. recent English loans in German:
/عı/ in Email, Homepage vs.
/e:/ in okay [o.'ke:] (older loan)
(Hamann 2015: 250)

Phoneme Merger (decrease)
2 Phonemes $>1$ Phoneme, e.g. ${ }^{*}$, ${ }^{*} \mathrm{r}>\mathrm{r}$ PIE *pIneHti 'fills' > Vedic prnáti PIE *bhrto- 'carried' > Vedic bhrtá(Sihler 2000: 44)

Merger under Contact (decrease) $/ \mathrm{nk} />/ \mathrm{ng} /$ in Maasai (Heine 1980) >
*NK realized as $/ \mathrm{ng} /$ south of Thuci
River in Cenral Kenya Bantu
(my hypothesis, see below)

- Language Change and Phonetic Properties Synchronic phonetic variation (and the lack thereof) may be due to both (a) internal developments and (b) language contact, e.g. variation in vowel quality in CKB:

| a) Shared Innovation |  | Divergence |  |  |
| :---: | :---: | :---: | :---: | :---: |
| 590 black | $\text { CB *yídù C.S. } 2037 \text { > -iru }$ <br> in Mwimbi and Imenti | 554 to hear | CB *yígu C.S. 2043 |  |
|  |  |  | >-i:gwa | Imenti |
|  |  |  | >-r:gwa | Mwimbi |
| b) Mutual Borrowing |  | Parallel Borrowing |  |  |
| 408 rice | Swahili mchele > mu.ce:re | 415 shorts | Swahili sur |  |
|  | in Mwimbi and Muthambi |  | curua:rı | Mwimbi |
|  |  |  | curua:ri | Muthambi |

590 black CB *yídù C.S. 2037 > -iru
in Mwimbi and Imenti

## Parallel Borrowing

415 shorts $\quad$ Swahili suruali $>$ curua:rı Mwimbi curua:ri Muthambi

- Language Change and Phonological Rules Specific phonological rules may emerge due to (a) internal developments and under the influence of (b) language contact:
(3) a) Shared Innovation

Most dialects of American English agree in the rule

$$
/ \mathrm{t} / \rightarrow[\mathrm{r}] /[+ \text { vowel, +stress }]_{-}[+ \text {vowel, -stress }],
$$

e.g. in 'butter' ['bیci] and 'notable' ['nourabl].
b) Rule borrowing (following lexical transfer)

| Latin Sg. alumnus | $>$ | English Sg. alumnus |
| :--- | :--- | :--- |
| Latin Pl. alumni | $>$ | English Pl. alumni |

The massive borrowing of Latin words (second declension) ending in -us (Plural: $-i$ ) has resulted in a minor English rule of plural formation - even for words that never had such a plural /-i/ etymologically, e.g. English octopus, Plural: octopi (Thomason 2006) ${ }^{1}$.
$\rightarrow$ Inheritance and Contact may play an equally important role in language change resulting in phonetic, phonological and rule-based congruence.
$\rightarrow$ There seem to be no general constraints that enable us to distinguish between inheritance and contact.
$\rightarrow$ The structurally refined phonological data (= correspondence series) do not suffice as basis for qualitative analysis: additional information and a set of parameters is needed.

### 2.2 Parameters in Qualitative Dialectology

- Sound Correspondence


## Recurrent Sound Correspondence

Synchronically, two (or more) dialects show some sort of recurrent agreement, e.g.
Dialect A feature $x=$ Dialect B feature $y$

| Regular Correspondences | Irregular Correspondences |
| :--- | :--- |
| - based on vertical relations | - based on horizontal relations |
| - retention / divergence |  |
| tend to show: | - transfer / convergence |
| tend to show: |  |

[^0]- What would Guthrie do?

Malcolm Guthrie (1967-71) classifies formal aberrancies as follows:

| Guthrie's term | divided into | divided into | Example | Comment |
| :---: | :---: | :---: | :---: | :---: |
| inadmissible <br> not quite suitable as a valid entry in a particular C.S. (Vol. 2: 28 ff .) | skewed meaning | --- | -pet- 'to bend' M. 42 <br> 'to acheive' K. 21 <br> 'to pay' $\quad \mathrm{S} .12$ | Semantic Change, possibly conceptual issues in CKB |
|  | skewed shape | eccentric |  | Items unsuitable for one particular reason |
|  |  | extraneous | e.g. clicks in Xhosa | Items unsuitable based on patterns or single units |
| multi-valent <br> an items can be entered into more than one C.S. (Vol. 2: 20) | --- | --- | 379 cheap  <br> Gikuyu Kamba <br> raidi laisi $i$ <br> $* \mathrm{C}_{1}=$ б ${ }^{2} \mathrm{C}_{2}=\mathrm{s}$ <br> $\rightarrow$ entered into ${ }^{*} \mathrm{C}_{3}$  | Multi-valent forms possibly indicate multiregionals origins (convergence), see below for parallel series |

Table 10: Guthrie's classification of irregular forms
$\rightarrow$ In order to identify diffused lexical items (that attest to recurrent sound correspondence), the following parameters are to be taken into account:

- number of attesting items
- distribution of attesting items
- formal aberrance of attesting items
(- semantic background)


## 3. Application of the Qualitative Methods

## How did inheritance and contact contribute to the synchronic picture of CKB?


－Retention（shared innovation）
Out of a total of 42 ，twelve correspondence series show no variation within CKB，e．g．

|  |  | WESTERN |  |  |  |  |  | EMBU／ MBEERE |  |  | NITHI |  |  | MERU |  |  | tharaka |  | KAMBA |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | $\stackrel{\stackrel{\pi}{E}}{\stackrel{\pi}{E}}$ | $\begin{aligned} & \overline{0} \\ & \\ & \hline \end{aligned}$ | $\begin{aligned} & \text { 플 } \\ & \sum_{n}^{\pi} \end{aligned}$ | $\begin{aligned} & \text { 㐓 } \\ & \hline \end{aligned}$ |  | $\frac{\vec{E}}{\underline{E}}$ |  | 皆 |  | $\begin{aligned} & \vec{e} \\ & \frac{n}{3} \\ & i \end{aligned}$ |  | E | $\begin{aligned} & \frac{⿳ 亠 二 口}{1} \\ & \frac{\bar{Z}}{Z} \end{aligned}$ | $\begin{aligned} & \ddot{H} \\ & \vec{E} \\ & \dot{B} \\ & \dot{Z} \end{aligned}$ |  | $\begin{aligned} & \text { 皆 } \\ & \text { N } \\ & \text { N } \\ & 1 \\ & 1 \end{aligned}$ |  |  | E |
| ＊M | realized as | m | m | m | m | m | m | m | m | m | m | m | m | m | m | m | m | m | m | m | m |

Table 11：Correspondence Series＊M in CKB
（4） 019 throat mu．me（r）s all of $\mathrm{CKB}<\mathrm{CB}$＊－mèdò C．S． 1295

025 left hand
$\rightarrow \mathrm{CB} * \mathrm{~m}>/ \mathrm{m} /$ all of CKB

|  |  |  | WESTERN |  |  |  |  |  | EMBU／ MBEERE |  |  | NITHI |  |  | MERU |  |  | THARAKA |  | KAMBA |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $$ |  |  |  | $\stackrel{\stackrel{\pi}{E}}{\stackrel{\pi}{E}}$ | $\begin{aligned} & \stackrel{T}{D} \\ & \frac{\pi}{Z} \end{aligned}$ | $\begin{aligned} & \text { 들 } \\ & \sum_{5}^{5} \end{aligned}$ | $\frac{\pi}{Z}$ |  | 槀 | $\begin{aligned} & 0 \\ & \text { De } \\ & \text { E } \end{aligned}$ | 年 |  | $\begin{aligned} & \text { E} \\ & \\ & i \end{aligned}$ | $\begin{aligned} & :=\underset{0}{0} \\ & \text { 弟 } \end{aligned}$ | E | $\begin{aligned} & \frac{0}{E} \\ & \frac{1}{Z} \end{aligned}$ |  |  |  | $\begin{aligned} & \frac{\vec{E}}{E} \\ & \text { N } \\ & \text { E } \end{aligned}$ |  | E |
|  | ＊ $\mathrm{C}_{1}$ | realized as | ð | ð | ð | ð | ð | ð | ð | ð | ð | ð | ð | б | ð | ð | ð | ð | ð | ð | ð | ð |

Table 12：Correspondence Series ${ }^{*} \mathrm{C}_{1}$ in CKB
（5） 006 face
025 left hand
$\rightarrow \mathrm{CB} * \mathrm{c}>/ \mathrm{\delta} /$

u． | iu |
| :--- |

u．moð๐
all of CKB＜CB＊－ç̀u C．S． 347
all of CKB＜CB＊－mócó C．S． 1316

Note：The series ${ }^{*} \mathrm{C}_{1}$ is attested by a total of 62 items（ 16 CB cognates）．Five items are borrowed from（colonial）Swahili，e．g．
（6） 156 to teach Sw．－somesha $\quad>\quad$－ðo：mıðia（e．g．Gikuyu，Embu，Meru） 372 market Sw．soko $\quad>\quad$ ． $\begin{aligned} & \text { otoko（e．g．Gikuyu，Embu，Meru）}\end{aligned}$
$\rightarrow$ In a few cases，Swahili loans showing $/ \mathrm{s} /$ are integrated into the vertical sound systems．

## －Divergence

Some series represent phonological isoglosses that may divide CKB into a varying number of individual groups，e．g．

|  |  |  | WESTERN |  |  |  |  |  | EMBU／MBEERE |  |  | NITHI |  |  | MERU |  |  | Tharaka |  | KAMBA |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\begin{aligned} & \text { m } \\ & \sqrt[1]{2} \\ & \mathbf{U} \end{aligned}$ |  |  | $\begin{aligned} & \overline{0} \\ & \text { En } \\ & \text { In } \end{aligned}$ | $\stackrel{\stackrel{\pi}{5}}{\stackrel{\rightharpoonup}{ت}}$ |  |  | 耍 |  | 言 | $\begin{aligned} & \text { Di } \\ & \sum_{0}^{2} \end{aligned}$ | $\begin{aligned} & \text { M } \\ & \frac{Y}{E} \\ & \hline \end{aligned}$ |  | 合 | $\begin{aligned} & :=7 \\ & \text { 或 } \end{aligned}$ | E |  |  |  |  | $\begin{aligned} & \frac{E}{E} \\ & \text { N } \\ & \text { E } \end{aligned}$ | $\begin{aligned} & \text { E } \\ & \text { ㅇ } \\ & E \end{aligned}$ | 耆 |
|  | ＊ $\mathrm{P}_{1}$ | realized as | ¢ | f | ¢ | ¢ | $\beta$ | $\beta$ | v | v | ¢ | ¢ | ¢ | ¢ | ¢ | ¢ | ¢ | ¢ | f | $\beta$ | $\beta$ | $\beta$ |

Table 13：Correspondence Series ${ }^{*} P_{1}$ in $C K B$
（7）

| 067 to vomit | CB＊－tápik－C．S． 1684 | $>$ | －tafika（Gikuyu，all of Eastern） |
| ---: | :--- | :--- | :--- |
|  |  | - taßıka（Ndia，Gichugu，Kamba） |  |
|  |  |  | - tavıka（Embu，Mbeere） |
| 227 to draw water | CB＊－táp－C．S． 1681 | $>$ | - tafia（Gikuyu，all of Eastern） |
|  |  | $>$ | - taßa（Ndia，Gichugu，Kamba） |
|  |  | - tava（Embu，Mbeere） |  |

$\rightarrow \quad \mathrm{CB}$＊p is reflected as follows：

|  | CB＊p |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  | f | $v$ | $\beta$ |  |
| 侖 | Kiambu <br> Murang＇a Nyeri Mathira | Embu <br> Mbeere | Masaku Mumoni Yatta Ndia | ｜ |
|  | Chuka <br> Mwimbi |  | Gichugu |  |
|  | Muthambi Igoji Miutini Nkubu Imenti Tharaka |  |  |  |

No bundled isoglosses－$* \mathrm{R}_{1} / / \mathrm{a}, \varepsilon, \rho, \mathrm{u} /$ represents yet another division into three groups：

|  |  | WESTERN |  |  |  |  |  | EMBU／ <br> MBEERE |  |  | NITHI |  |  | MERU |  |  | tharaka |  | KAMBA |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\begin{aligned} & \pm \\ & \text { y } \\ & \sqrt{3} \\ & \mathbf{U} \end{aligned}$ |  |  |  | $\begin{aligned} & \text { y } \\ & \text { N } \end{aligned}$ |  | 耍 |  | $\begin{aligned} & \bar{E} \\ & \text { En } \end{aligned}$ | $\begin{aligned} & \text { Di } \\ & \text { E } \\ & \text { E } \end{aligned}$ |  |  |  | $\begin{aligned} & : \overrightarrow{0} \\ & \text { 易 } \end{aligned}$ | 菏 | $\begin{aligned} & \bar{Z} \\ & \frac{0}{\bar{Z}} \\ & \frac{1}{2} \end{aligned}$ |  |  |  |  | 关 | 星 |
|  | $\begin{gathered} * \mathrm{R}_{1} /- \\ / \mathrm{a}, \varepsilon, \mathrm{o}, \mathrm{U} / \end{gathered}$ | r | г | ¢ | r | ¢ | r | 「 | 「 | ¢ | 「 | 「 | ¢ | ¢ | ［ | 〔 | 〔 | 「 | Ø | Ø | Ø |

Table 14：Sound Correspondence Series ${ }^{*} R_{l} / \_/ a, \varepsilon, \nu, u /$ in $C K B$
(8) 028 finger CB *-yádá C.S. $1893 \quad>\quad$ kı.ara (Western)
$>$ kı.ara (Eastern)
$>$ ky.aa (Kamba)
044 intestines CB *-dà C.S. $442>$ ma.ra (Western)
$>$ ma.ra (Eastern)
> ma.a (Kamba)
$\rightarrow \quad \mathrm{CB} * \mathrm{~d}$ is generally reflected as follows:

|  |  | CB *d |  |
| :---: | :---: | :---: | :---: |
|  | f | - | Ø |
| $\begin{aligned} & \text { E } \\ & \text { 霍 } \end{aligned}$ | WESTERN | EASTERN | KAMBA |
|  | Kiambu | Embu | Masaku |
|  | Murang'a | Mbeere | Mumoni |
|  | Nyeri | Chuka | Yatta |
|  | Mathira | Mwimbi |  |
|  | Ndia | Muthambi |  |
|  | Gichugu | Igoji |  |
|  |  | Miutini |  |
|  |  | Nkubu |  |
|  |  | Imenti |  |
|  |  | Tharaka |  |

In addition, the dialects on the eastern slopes of Mt. Kenya show a further distinction in the realization of $\mathrm{CB} *$ d, e.g. $* \mathrm{R}_{1} / / \mathrm{u} />[1]$ in Igoji:
(9) 019 throat CB *-mèdò C.S. $1295>\operatorname{mu} . m \varepsilon \boldsymbol{r} \quad\left(=* \mathrm{R}_{1} / \_/ \mathrm{a}, \varepsilon, \mathrm{o}, \mathrm{u} /\right)$

172 to curse CB *-dù̀m- C.S. $740 \quad>$ lumana $\quad\left(=* \mathrm{R}_{1} / \_/ \mathrm{u} /\right)$

Muthambi, in turn, shows yet another rule $* \mathrm{R}_{1} / \_\mathrm{i} />[1]$ (while not obeying $\left.* \mathrm{R}_{1} / \_/ \mathrm{u} />[1]\right)$ :
(10) 019 throat $\mathrm{CB} *$-mèdò C.S. $1295 \quad$ mu.mero $\left(=* \mathrm{R}_{1} / / \mathrm{a}, \varepsilon, \rho, \mathrm{u} /\right)$ 172 to curse $\mathrm{CB} *$-dụ̀m- C.S. $740>$ rumana $\quad\left(=* \mathrm{R}_{1} / \_/ \mathrm{u} /\right)$
430 moon $\mathrm{CB} *$-yédị C.S. $1965>$ mu.ع:li $\quad\left(=* \mathrm{R}_{1} / \_\mathrm{i} /\right)$
$\rightarrow$ The reflection of CB *d is governed by a set of different phonological rules on the eastern slopes of Mt. Kenya resulting in a highly diverse synchronic micro-picture.
$\rightarrow$ The reflection of $\mathrm{CB} * \mathrm{~d}$ (= the realization of $* \mathrm{R}_{1}$ ) in CKB may be broken down as follows:

| CB＊d |  |  |
| :---: | :---: | :---: |
|  |  |  |
| WESTERN always［r］ | EASTERN <br> ［r］or［1］ | KAMBA always Ø |
| （no phonologcial rule） | depending on | （no phonological rule） |
|  | ＊ $\mathrm{R}_{1} / / \mathrm{a}, \mathrm{e}, \mathrm{o}, \mathrm{u} /$ |  |
|  | ＊ $\mathrm{R}_{1} /$／$/ \mathrm{u} /$ |  |
|  | ＊ $\mathrm{R}_{1} /$／ $\mathrm{i} /$ |  |
|  | ＊ $\mathrm{R}_{1} /$／$/ \mathrm{I} /$ |  |

Series statistics：$\quad 45$ attestations total
29 CB cognates
All items mostly widespread
Semantics：Body，Motion，Basic Actions，Physical World etc．
$\rightarrow$ Both the phonetic variation and the differences in phonological rules within series $* \mathrm{R}_{1}$ seem to be due to divergence，as there is no indication of language contact！
－Convergence（Parallel Correspondence Series）
The examples of $* \mathrm{R}_{1}$ above show that

$$
\begin{aligned}
\mathrm{CB} * \mathrm{~d} & >/ \varnothing / & & \text { in Kamba } \\
& >/ \mathrm{r} / & & \text { in the rest of } \mathrm{CKB}
\end{aligned}
$$

In a number of cases，however，$/ \mathrm{r} /$ in the montane dialects（ $=$ rest of CKB）corresponds with Kamba／l／（i．e．Kamba shows＂eccentric shapes＂），e．g．
（11） 016 lip 026 right hand

| kı．rəmっ（Gikuyu） | kı．lomっ（Kamba） | （cf．CB＊－dòmò C．S．651） |
| :--- | :--- | :--- |
| u．rıo（Gikuyu） | u．lyo（Kamba） | （cf．CB＊－díó C．S．555） |

$\rightarrow$ The＂eccentric shapes＂in Kamba call for the set－up of an additional series $* \mathrm{R}_{2}$ ：

|  |  | WESTERN |  |  |  |  |  | EMBU／MBEERE |  |  | NITHI |  |  | MERU |  |  | THARAKA |  | KAMBA |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  | $\begin{aligned} & \overline{0} \\ & \\ & \hline \end{aligned}$ | 断 | 耍 |  | E | $\begin{aligned} & \text { Di } \\ & \text { E } \\ & \text { E } \end{aligned}$ | $\begin{aligned} & \text { تك } \\ & \text { E } \\ & \hline \end{aligned}$ |  |  |  | E | $\begin{aligned} & \overline{0} \\ & \frac{1}{E} \\ & \frac{1}{Z} \end{aligned}$ | $\begin{aligned} & \tilde{H} \\ & \frac{1}{E} \\ & \frac{1}{i} \end{aligned}$ |  |  |  |  | 易 |
| ＊ $\mathrm{R}_{2}$ | $\begin{gathered} \text { realized } \\ \text { as } \end{gathered}$ | r | ¢ | ¢ | r | r | r | 〔 | ¢ | 〔 | ¢ | 〔 | ¢ | ¢ | 「 | 〔 | 〔 | ¢ | 1 | 1 | 1 |

Table 15：Correspondence Series＊$R_{2}$ in $C K B$

Except for Kamba，the series $* \mathrm{R}_{2}$ and the regular（vertical）series $* \mathrm{R}_{1}$ collaps in all of CKB：

|  |  | WESTERN |  |  |  |  |  | EMBU／ MBEERE |  |  | NITHI |  |  | MERU |  |  | THARAKA |  | KAMBA |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | $\stackrel{\stackrel{\pi}{E}}{\stackrel{5}{E}}$ | $$ |  | 坒 | $\begin{aligned} & \text { E00 } \\ & \text { 关 } \\ & \text { 皆 } \end{aligned}$ | E | $\begin{aligned} & \text { Di } \\ & \text { E } \\ & \sum \end{aligned}$ | $\begin{aligned} & \text { Kis } \\ & \text { EU } \end{aligned}$ | $\begin{aligned} & \text { 를 } \\ & \\ & \hline \end{aligned}$ |  | $\begin{aligned} & :=\underset{0}{0} \\ & \text { eno } \end{aligned}$ | 吕 | $\begin{aligned} & \frac{0}{E} \\ & \frac{1}{Z} \end{aligned}$ |  |  |  |  | $\begin{aligned} & \text { E } \\ & \text { B } \\ & \text { B } \\ & \hline \end{aligned}$ | 老 |
| ＊R2 | $\begin{array}{\|c\|} \hline \text { realized } \\ \text { as } \end{array}$ | r | 「 | ¢ | r | r | r | 「 | 〔 | 「 | 「 | 〔 | 「 | 〔 | 〔 | 〔 | 〔 | 〔 | 1 | 1 | 1 |
| ＊ $\mathrm{R}_{1}$ | $\begin{array}{\|c\|} \hline \text { realized } \\ \text { as } \end{array}$ | r | r | r | r | r | r | 「 | 「 | 〔 | 「 | 〔 | ¢ | 〔 | 〔 | 〔 | 〔 | 〔 | Ø | Ø | Ø |

Table 15：Correspondence Series ${ }^{*} R_{2}$ and $* R_{1}$ in $C K B$

| Series Statistics： | $* \mathrm{R}_{1}$ | $* \mathrm{R}_{2}$ |
| :---: | :---: | :---: |
|  | 45 items（mostly widespread） | 37 items（less widespread） |
|  | 29 CB cognates $(65 \%)$ | 12 CB cognates $(32 \%)$ |
|  | no Swahili loans | 11 Swahili loans |

$\rightarrow$ As／1／in Kamba cannot be regularly derived from CB＊d，it seems to be a loan phoneme induced through（a）downhill borrowing and（b）Swahili contact，e．g．
（12）

$\rightarrow / 1 /$ in Kamba is a product of adaptation；in the remaining varieties，Swahili loans showing $/ \mathrm{r} /$ are simply integrated into the vertical sound systems．

The distinction between adaptation and integration may，in some instances，enable us to specify the borrowing direction of certain items，e．g．in the case of certain multi－valent forms：

Some Swahili loans tend to cut through the lines of recurrent sound correspondence, e.g. Swahili source words (showing /s/) in the comparison of Gikuyu - Chuka - Kamba:
(13)
a) 157 to learn
Type A
$\begin{array}{rll}\text { Sw. -soma } & > & \text {-ðо:ma } \\ & > & \text {-ðəма }\end{array}$

| (Gikuyu) | ${ }^{*} \mathrm{C}_{1}<\mathrm{CB} * \mathrm{c}$ |
| :--- | :--- |
| (Chuka) | $* \mathrm{C}_{1}<\mathrm{CB} * \mathrm{c}$ |

-soma (Kamba) ${ }^{*} \mathrm{C}_{2} \neq \mathrm{CB} * \mathrm{c} \mathcal{N}$ !
b) 378 money
Sw. pesa
$>$ mbeca

| (Gikuyu) | ${ }^{*} \mathrm{C}_{2}$ | $\checkmark$ |
| :--- | :--- | :--- |
| (Chuka) | ${ }^{*} \mathrm{C}_{2}$ | $\checkmark$ |
| (Kamba) | ${ }^{*} \mathrm{C}_{2}$ | $\checkmark$ |

c) 379 cheap

| Sw. rahisi | $>$ | raiði |
| ---: | :--- | ---: |
|  | $>$ | raici |
|  | $>$ | laisi |


| (Gikuyu) | $* \mathrm{C}_{1}<\mathrm{CB} * \mathrm{c} \mathbb{N}$ ! |
| :--- | :--- |
| (Chuka) | ${ }^{*} \mathrm{C}_{2} \neq \mathrm{CB} * \mathrm{c}$ |
| (Kamba) | $* \mathrm{C}_{2} \neq \mathrm{CB} * \mathrm{c}$ |

$\rightarrow$ The examples a) and c) constitute multi-valent forms:
a) Swahili -soma is integrated in Chuka and Gikuyu, while adaptated in Kamba
b) Swahili -pesa is adaptated in all three varieties
c) Swahili rahisi is integrated in Gikuyu, while adaptated in Chuka and Kamba
$\rightarrow$ According to Guthrie (Vol. 2: 20), multi-valence may indicated multi-regional origins; in the above case, multi-valence of Swahili loans indicates different waves of Swahili contact (see below).

It was pointed out above (page 3), that CKB is divided into two groups in regard to prenasalized plosives, e.g.
*NK is realized as /nk/ prenasalized, voiceless plosive north of Thuci River /ng/ prenasalized, voiced plosive south of Thuci River

Theoretically, the variation [+/- voice] may be explained historically in two possible ways:
A. Phonemic split north of Thuci River or

$\frac{\text { B. Phoneme merger south of Thuci River }}{*_{\mathrm{ng}}}$
$\rightarrow$ Additional information is required in order to assess series *NK
$\rightarrow$ From a distributional perspective，it seems plausible that a merger under contact happened south of Thuci River（in the Western dialects，Embu／Mbeere， Kamba）due to Maasai influence（see below for a plausible scenario）． cf．Maasai rule／p，t，c，k／$\rightarrow$［b，d，d3，g］／N＿（Heine 1980：102）

## －Inconclusive Correspondence Series

A few cases remain largely inconclusive due to different reasons，e．g．${ }^{*} \mathrm{MB}_{2}$ ：

|  | WESTERN |  |  |  |  |  | EMBU／ MBEERE |  |  | NITHI |  |  | MERU |  |  | tharaka |  | KAMBA |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | $\stackrel{\stackrel{\pi}{E}}{\stackrel{5}{E}}$ | $$ |  | $\frac{\pi}{3}$ |  | $\begin{aligned} & \overline{\hat{1}} \\ & \vec{E} \end{aligned}$ |  | $\frac{\text { 플 }}{\text { E }}$ |  | 合 |  | 亭 | $\begin{aligned} & \frac{\tilde{\partial}}{\bar{E}} \\ & \frac{1}{z} \end{aligned}$ | $\begin{aligned} & \dot{\vec{E}} \\ & \vec{E} \\ & \vec{Z} \end{aligned}$ |  |  |  |  | 无 |
| ＊ $\mathrm{MB}_{2}$ real．as | f | f | f | f | mb | f | mb | mb | mb | mb | mb | mb | mb | mb | mb | mb | mb | mb | mb | mb |
| overlaps w／ | ＊ $\mathrm{P}_{1}$ |  |  |  | ${ }^{*} \mathrm{MB}_{1}$ | ${ }^{*} \mathrm{P}_{1}$ | ＊ $\mathrm{MB}_{1}$ |  |  |  |  |  |  |  |  |  |  |  |  |  |

Table 16：Correspondence Series ${ }^{*} M B_{2}$ in $C K B$（overlapping $w /{ }^{*} P_{1}$ in Gikuyu and Gichugu，and $w /{ }^{*} M B_{1}$ in the rest of CKB）
$\rightarrow$ The overlapping（multi－valence）indicates horizontal factors；due to the low amount of only two attestations，however，the case remains inconclusive．
（14） 319 hyena CB ＊－pịtị C．S． $1652>$ hiti（regular in Gikuyu，Gichugu）

| Nbiti |  |
| :--- | :--- |
| N | mbitinau | （skewed shape？） （skewed shape？）


| 362 to tear | －tambura（e．g．Nkubu） | versus | －tahura $($ e．g．Kiambu） |
| :--- | :--- | :--- | :--- |
|  | －tembura（e．g．Tharaka） | versus | －t\＆hura（e．g．Nyeri） |

## 4．Conclusions

## How do the linguistic findings relate to the social history of Central Kenya？

－SCENARIO 1：Dialectal Proximity and Migration History
－Nurse $(1979,1999)$ claims common origin for all languages of CKB（divergent picture）
－The oral traditions of the region paint a convergent picture and speak of at least three major migration routes taken by early Bantu pioneers（starting around 1500 AD ）．
－In contrast to Nurse＇s hypothesis，the phonological results in this study seem to confirm the view presented by the oral traditions．


Map 1: The three major migration routes into $C K$


Map 2: Pre-Gikuyu (1) and Pre-Meru (2) migration within the Kenyan Highlands (ca. 1500-1900 AD)


Diagram: Phonological Distances within CKB

| Phono-Cluster | Location | Myth of Origin | Linguistic Example |
| :---: | :--- | :--- | :--- |
| WESTERN | West of Rubingazi <br> River | Descendends of the pre- <br> Gikuyu at Mukurue wa <br> Gathanga (between Nyeri <br> and Murang'a), cf. <br> Muriuki 1974 | Unique in regard to <br> ${ }^{*} \mathrm{R}_{1},{ }^{*} \mathrm{~J}_{1}, * \mathrm{NC}_{2}$ |
| EASTERN | North of Thuci River | Desdendends of the pre- <br> Meru (aka 'Ngaa'), cf. <br> Fadiman 1973 | Unique in regard to *R $\mathrm{R}_{1}$ <br> and a number of <br> phonological rules |
| EMBU/MBEERE | Between Rubingazi <br> and Thuci | multi-regional origins, cf. <br> Mwaniki 1974 | Unique in regard to *P $\mathrm{P}_{1}$, <br> $* \mathrm{MB} / \_\mathrm{i} /$ |
| KAMBA | East of Tana River | Contradictory accounts | Unique in regard to the <br> lenition of *R and *G as <br> well as [-Dahl's Law], cf. <br> Coastal Bantu |

Table 17: The four areas of low phonological variation explained

## - SCENARIO 2: Swahili contact

Example 13 above shows that Swahili loans may be divided into three types:

- Type B (11 items) seems to be the oldest kind of Swahili loans, e.g.

| 378 money Sw. pesa | $>$ | mbeca | (Gikuyu) | ${ }^{*} \mathrm{C}_{2} \neq \mathrm{CB} * \mathrm{c}$ |
| ---: | :--- | :--- | :--- | :--- |
| Adaptation in all of CKB, | $>$ | mbe:ca | (Chuka) | ${ }^{*} \mathrm{C}_{2} \neq \mathrm{CB} *$ |
| possibly via Kamba | $>$ | mbesa | (Kamba) | ${ }^{*} \mathrm{C}_{2} \neq \mathrm{CB} *$ |

- Type C (5 items) clearly shows parallel borrowing into Gikuyu vs. the rest of CKB, e.g.

| 379 cheap Sw. rahisi | $>$ | raiði | (Gikuyu) | ${ }^{*} \mathrm{C}_{1}<\mathrm{CB} * \mathrm{c} \mathbb{N}$ ! |
| ---: | :--- | :--- | :--- | :--- |
| Integration in Gikuyu, <br> adaptation in the rest | $>$ | raici | (Chuka) | ${ }^{*} \mathrm{C}_{2} \neq \mathrm{CB} * \mathrm{c}$ |
|  | $>$ | laisi | (Kamba) | ${ }^{*} \mathrm{C}_{2} \neq \mathrm{CB} * \mathrm{c}$ |

- Type A (4 items) seems to be the most recent kind of Swahili loans (colonial times), e.g.

$\rightarrow$ Colonial projects (e.g. Uganda Railway) gave rise to the Gikuyu area as a center of administration, business, and education in Central Kenya (eventually outstripping Ukambani).


## - SCENARIO 3: Maasai contact

Case 7 above showed that south of Thuci River no prenasalized voiceless plosives occur, i.e. the Western dialects, Embu/Mbeere, and Kamba show only $/ \mathrm{ng} /$.
Hypothesis: The voicing of *NK in these varieties is due to Maasai substrate influence.


Map 4: Maasai Language Area and its Historical Border (Tucker \& Mpaayei 1955)

The Extra-Linguistic Background: Human Pawnship as a means of crisis control Bovine plague in Maasai area > Desperate measures: women and children in exchange for food > Integration of Maasai immigrants into Bantu communities > the new arrivals shift from Maasai to Gikuyu, Embu/Mbeere, or Kamba (= classic substrate scenario).

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[^0]:    1 Note that the example above does not constitute rule borrowig per se, as the rule under concern is created by English speakers and does not enter English as part of the lexical transfer from Latin. Uncontroversial examples are, however, hard to come by, cf. Thomason (2006) for a further discussion.

