

# From differential grammatical treatment to gender: animacy-based noun classification in Central Africa

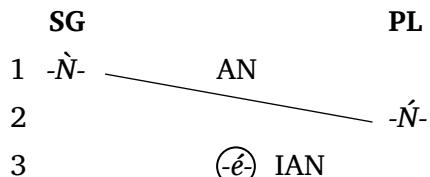
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## 1 Introduction

- + Africa as a global hotspot of languages with gender (Heine 1982, Nichols 1992), notably:
- a) complex systems with non-sex-based gender in Niger Congo languages of western, central and southern Africa known under the term “noun classes”
- b) bi- or tripartite systems with sex-based gender in mostly Afroasiatic languages of northern and northeastern Africa
- c) less known and typologically rare systems in Kalahari Basin Area (Güldemann 2000)
- > accounts for large majority of African gender systems dealt with in typological literature
- + far less known system type found in Central Africa: bipartite with animacy-based genders
- > e.g., Vorbichler's (1963) description for the Ituri Bantu language Beeke (D335):

(1)a.	<i>nyama</i>	<i>ndzo</i>	<i>ba-nyama</i>	<i>mbaa</i>
	animal	AN.SG:DEM	PL-animal	AN.PL:DEM
	this animal		these animals	
b.	<i>bitu</i>	<i>ni</i>	<i>ba-bitu</i>	<i>ni</i>
	bow	IAN:DEM	PL-bow	IAN:DEM
	this bow		these bows (Vorbichler 1963: 33)	
(2)a.	<i>seki</i>	<i>endi</i> [ <i>a-ndi</i> ]	<i>seki</i>	<i>bendi</i> [ <i>ba-ndi</i> ]
	tortoise	3AN.SG.SBJ:go	tortoise	3AN.PL.SBJ:go
	the tortoise	went	the tortoises	went
b.	<i>singa</i>	<i>esei</i>	<i>ba-singa</i>	<i>esei</i> [ <i>? V-(e)sei</i> ]
	trap	3IAN.SBJ:sleep	PL-trap	3IAN.SBJ:sleep
	the trap	“slept” (= remained set up)	the traps	“slept” (Vorbichler 1963: 33)
(3)a.	<i>mè-mè-èní</i>	<i>tò</i>	<i>mè-mè-èní</i>	<i>tò</i>
	1SG.SBJ-3AN.SG.OBJ-see ?		1SG.SBJ-3AN.PL.OBJ-see ?	
	I saw him/[her]		I saw them	(animal, human)
b.	<i>mè-é-èní</i>	<i>tò</i>		
	1SG.SBJ-3IAN.OBJ-see ?			
	I saw it/them	(thing, tree)	(Vorbichler 1963: 33)	

Agreement class	Adjective/numeral	Possessor pronoun	Demonstrative	Subject on verb	Object on verb
1 AN.SG	<i>ma-</i>	<i>yV-</i>	<i>ndzo</i>	<i>à-</i>	<i>-ጀ-</i>
2 AN.PL	<i>ba-</i>	<i>(m)bV-</i>	<i>mbaa</i>	<i>ba-</i>	<i>-ጀ-</i>
3 IAN	<i>a-</i>	<i>Ø</i>	<i>(i)ni</i>	<i>?V-</i>	<i>-é-</i>

**Table 1: Agreement classes across various targets in Beeke**

Note: agreement classes represented by verbal object indexation

**Figure 1: The animacy-based gender system of Beeke (after Vorbichler 1963)**

- + few transparent descriptions of such Central African systems as in Figure 1 unless there are further semantic elaborations (as, e.g., in Zande - see §2.2.2 below)
- + Vorbichler (1963: 23-4, 27, 34; 1968: 414-5) with a concrete hypothesis about some prehistorical substrate interference in the specific context of the northeastern Ituri rainforest potentially related to languages spoken by “Pygmy” forager groups before their shift to languages of food-producing groups that colonized the area later

Die Entdeckung dieser Unterscheidung für das Sua-Kango ist das Verdienst Schebestas. Eine noch zu lösende Frage bleibt es, ob und wie diese Unterscheidung in der ostsudanischen Gruppe Mamvu-Lese-Bvuba-Efe durchgeführt ist und wie sich die Verhältnisse in den den Mangbetu-Dialekten nahestehenden Asua-ti darbieten. Bewahrheitet sich die Zweiteilung von Lebewesen und Nichtlebewesen für alle Gruppen der Waldneger- und Pygmäendialekte des Ituri-Waldes, so kann diese nur aus einer dritten, noch immer wirksamen Sprachschicht erklärt werden, denn weder die Bantu- noch die Ostsudansprachen kennen sie von Haus aus. [We owe the discovery of this (animacy-based gender) distinction in Sua-Kango (part of Bira-Komo Bantu) to Schebesta. A problem still to be resolved is whether and how this distinction is made in the East Sudanic Mangbutu-Efe group and what the situation is in Asua-ti, the close relative of the Mangbetu dialects (all part of Central Sudanic!!!). Should the division into animate and inanimate entities be shown to hold for all groups of rainforest-farmer and Pygmy forager languages of the Ituri, it can only be explained by means of a third still active language stratum, as neither Bantu nor East (aka Central) Sudanic languages know it.]

- + gender = noun classification expressed by morphosyntactic agreement
- > in line with Corbett (1991) and others, includes pronominal gender systems!
- + ± animate categorization is a language-specific phenomenon - cf., e.g., discussion of such systems in Algonquian (Black-Rogers 1982, Straus and Bright. 1982, Goddard 2002)
- > + animate ≠ human + animal nouns, as in Bantu “animate concord” where animals are treated to different degrees as nouns of human gender 1/2 (cf., e.g., Wald 1975)
- > ± animate and ± human viewed here as basic “macrogenders” (Nichols 1992)
- + linguistic categorization of nouns into classes can be conveyed by various grammatical phenomena other than gender in the above technical sense
- > highly relevant in the area dealt with here

**+ goal of the paper:**

- (I) survey the types of noun classification in the languages of Central Africa straddling the wider zone of the northern rainforest transition
- > focussing on gender systems but including signs of animacy-based grammatical behavior
- (II) trace the diachronic evolution of animacy-based noun classification
- (III) discuss relevance for African areal typology (general typology treated elsewhere)

## 2 Nominal classification in Central Africa

### 2.1 Language inventory and classification

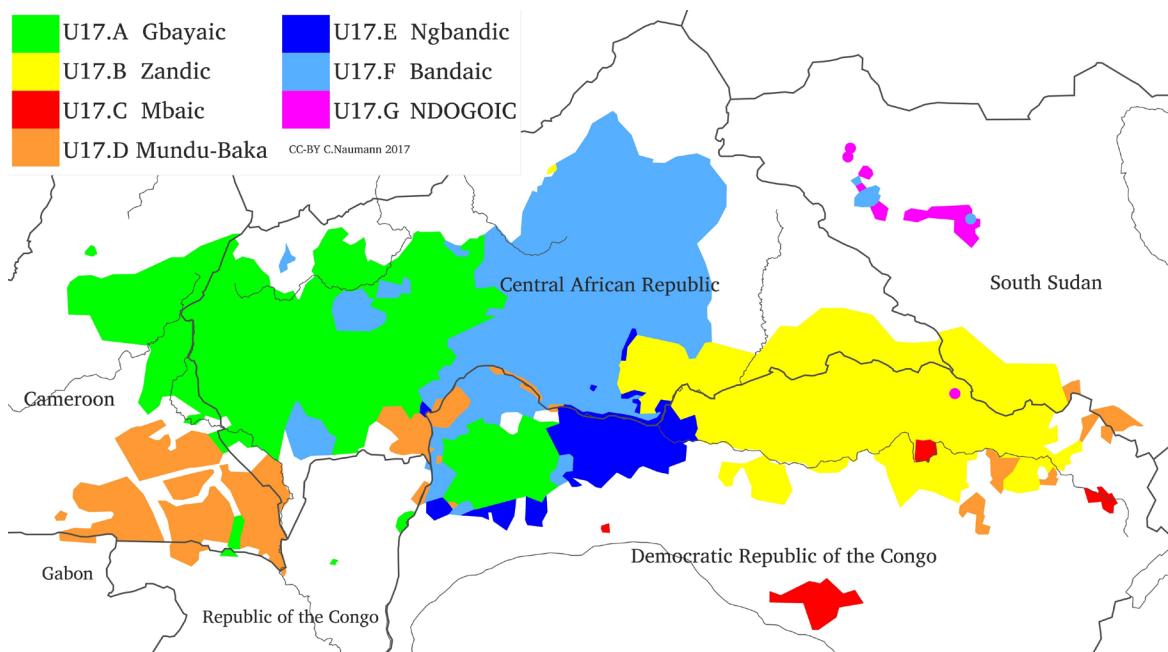
- + Vorbichler's hypothesis assumes 3 linguistic “layers”: “Pygmy”, “East Sudanic”, Bantu
- > in need of assessment according to modern genealogical language classification
- + widely accepted African classification by Greenberg (1963) methodologically and empirically not robust (cf., e.g., Campbell and Poser 2008) > Güldemann (2018)
- + Vorbichler's “East Sudanic” = Tucker's (1940) areal-linguistic concept: comprises Central Sudanic and Ubangi, which itself subsumes independent Gbayaic in the west
- > more than 3 language groups, treated here as: Bantu, UBANGI, Central Sudanic, “Pygmy”

#### 1. Bantu

- + largest language family of Niger-Congo with origin around the Nigeria-Cameroon border area, inconclusive sub-classification but progress with Grollemund et al. (2015)

#### 2. UBANGI (including Gbayaic)

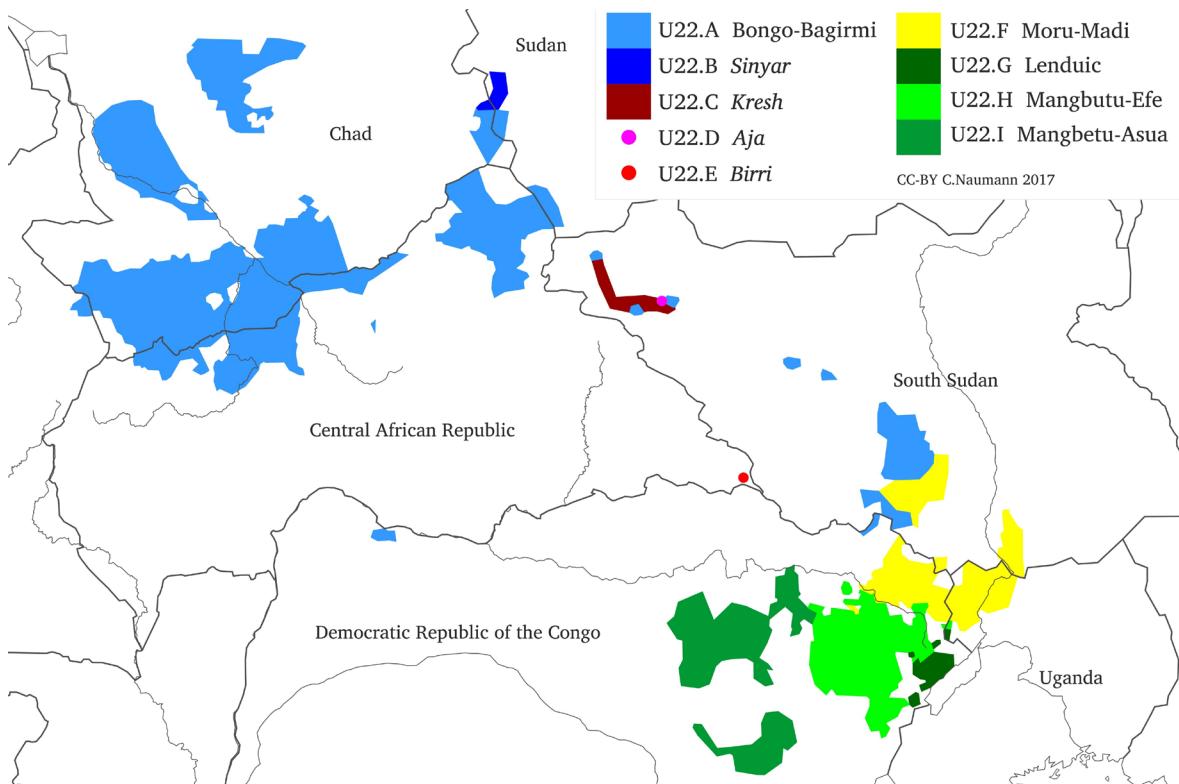
- + robust Niger-Congo member but not proven as a single clade in the family tree > Map 1
- + particularly Gbayaic in the west not closely related to Ubangi core (Moñino 2010b)
- + Ubangi core comprises more than half a dozen subgroups: Mbaic, Ngbandic, Zandic, Mundu-Baka, Bandaic, NDOGOIC (itself heterogeneous in comprising Narrow Ndogoic, Feroge-Mangaya, Togoyo, Indri whose relation to each other is unclear)



Map 1: Ubangi language groups (Güldemann 2018)

### 3. Central Sudanic

- + independent family rather than proven constituent group of Nilo-Saharan
- + close to ten subgroups: Bongo-Bagirmi, *Sinyar*, *Kresh*, *Aja*, *Birri*, Moru-Madi, Lenduic, Mangbutu-Efe, Mangbetu-Asua > Map 2



Map 2: Central Sudanic language groups (Güldemann 2018)

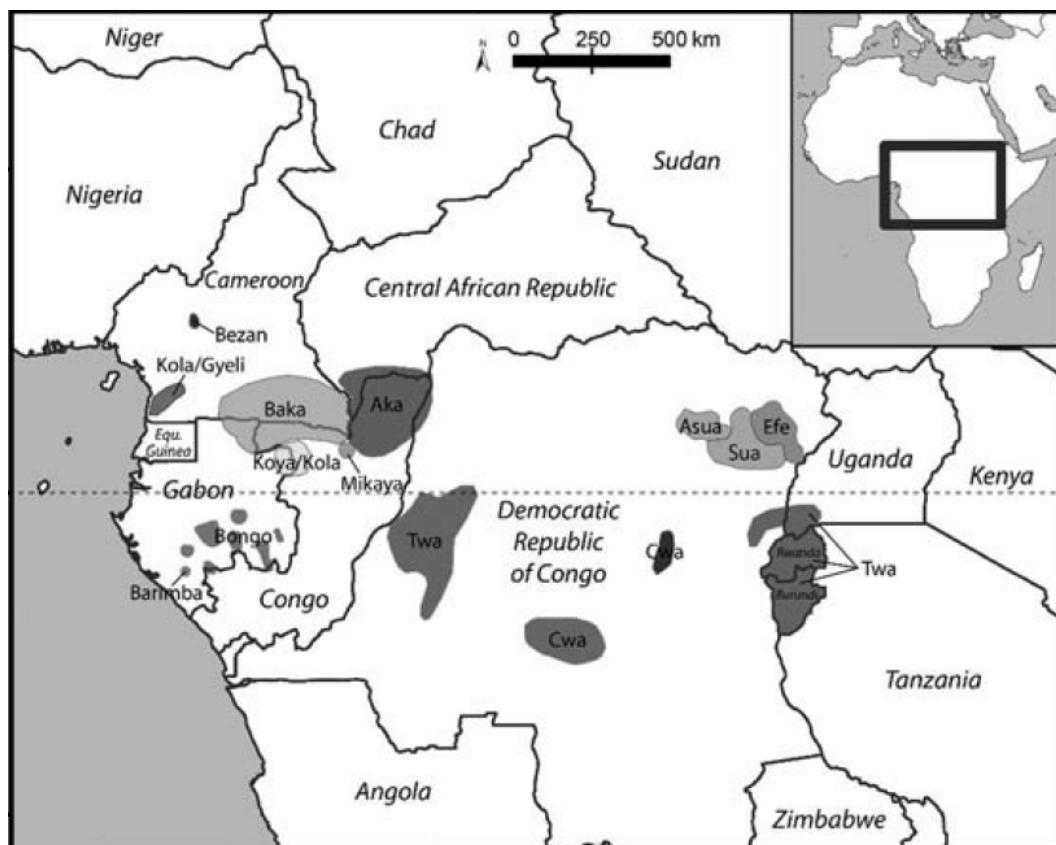
#### 4. “Pygmy” aka Central African forager languages

+ all groups assumed to have shifted to farmer languages, often not their current neighbors

Lineage	Language (variety)
Central Sudanic	
Mangbutu-Efe	(Efe)
Mangbetu-Asua	Asua
Niger-Congo	
Gbayaic	Bofi
Ubangi (Mundu-Baka)	<b>Baka</b>
Bantoid (Non-Bantu)	Bezan
Bantoid (Narrow Bantu) many and in numerous sub-groups, e.g.:	
Zone A:	<b>Kola~Gyeli (A801)</b>
Zone B:	Koya (B221), <i>Bongwe</i> (B303)
Zone C:	<b>(Y)aka (C104)</b> , <i>Nkundo Twa</i> , <i>Konda Twa</i> , <i>Foto</i> , <i>Jofe</i> (all C60)
Zone D:	4 varieties in 3 sub-groups: Kango and Sua 1 in Bira-Komo, Tchwa in Huku, Sua 2 in Liko-Bali group (Demolin 2008)
Zone J:	<i>Interlacustrine Twa</i>

Note: **Bold** = grammar (Efe description may concern also Mvuba), *Italic* = sketch

Figure 2: Genealogical classification of sufficiently known forager language varieties



Map 3: Forager groups in Central Africa (Bahuchet 2012: 12)

## 2.2 Group surveys

### 2.2.1 Bantu

- + Bantu languages known for an elaborate gender system of a particular semanto-syntactic profile to be reconstructed for Proto-Bantu: semantic trait of  $\pm$  human due to human gender 1/2 vs. all other genders, which are essentially non-human
  - + many languages in Central Africa with gender systems that are considerably restructured (see Di Garbo and Verkerk 2020; Verkerk and Di Garbo 2020; Güldemann, Di Garbo and Verkerk in prep.)
- > two major changes that are in principle independent of each other but can co-occur:
- a) reduction of gender inventory - up to complete loss
  - b) macrogender distinction shifts to different degrees from  $\pm$  human to  $\pm$  animate

Changes		Gender according to $\pm$ animacy	
		NO	YES
Gender reduction	NO	I Inherited default	II Pagibeete etc.
	YES	III Nzadi etc.	IV Beeke etc.

Table 2: Bantu languages and two types of gender-system restructuring

### 2.2.2 UBANGI

#### 1. Gbayaic

- + recurrent animacy-based gender distinction in various 3rd-person pronouns

Family sub-classification	Language variety	AN	IAN
Southern-Western	Gbeya	?à	-à POSSR
	Yaayuwee	?à	-à OBJ
	'Buli	?à	yò SBJ
Western	Manza	?à	mâ SBJ
	Ngbaka Minagende	?à	má SBJ

Table 3: Gender distinction in 3rd-person singular pronouns across Gbayaic (after Moñino 1995: 65, 98, 169, 227, 242, 421-2; 2010a: 89)

- (2)a. *dɔŋ-?à* [dɔŋáá] Gbeya (Bokoto-Gbeya)  
 back-3SG.AN  
 his/her back ~ behind him/her
- b. *dɔŋ-à* [dɔŋáá] back-3SG.IAN  
 its back ~ behind/after it (Moñino 1995: 169)

- (3)a. *?ám zòká ?à* Yaayuwee (Northwest)  
 1SG see 3SG.AN  
 I have seen him/her.

- b. *?ám zòkáà*  
 1SG see:3SG.IAN  
 I have seen it. (Moñino 1995: 65)

- (4)a. *?à gásá* 'Buli (Southern)  
 3SG.AN be.big  
 S/he is big.  
 b. *yò gásá*  
 3SG.IAN be.big  
 It is big. (Moñino 1995: 98)

- (5)a. *mbálawálá yú, à úsú tí bùlúkù* Ngbaka Minag. (Eastern)  
 monitor.lizard escape 3SG.AN hide under grass  
 le varan s'enfuit, il se cacha sous les herbes.  
 b. *tè má tiá*  
 tree 3SG.IAN fell  
 l'arbre est tombé (Maes 1959: 19-20, 34, 120)

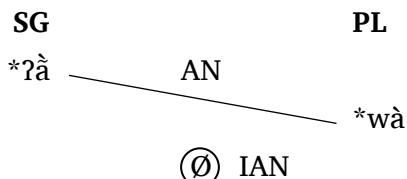


Figure 3: The possible animacy-based pronominal gender system of Proto-Gbayaic

## 2. Narrow Ndogoic + Raga

- + Narrow Ndogoic not yet surveyed (but see Santandrea (1961: 30-1, 52-4, 71, 108) on “neuter pronoun”), three units subsumed under Raga to be viewed independently
- + Feroge-Mangaya (Santandrea 1969: 106-8): 3rd-person pronouns for humans + animals, opposed to various reference devices for inanimate nouns, partly depending on morphosyntactic context: normally Ø; “neuter~inanimate” pronoun *a* or demonstrative; occasional use of animate pronoun in Mangaya
- > personal pronouns are in fact pronouns referring to animate entities
- + Togoyo (Santandrea 1969: 110): demonstrative as “neuter”~inanimate pronoun

- + Indri (Santandrea 1969: 108-9): 3rd-person pronouns distinguish animacy and for animates also number, a “neuter” pronoun predominantly but not universally for inanimates; also plural prefix *cu-* only for animate nouns (Santandrea 1969: 76)

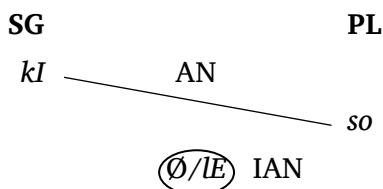


Figure 4: The animacy-based pronominal gender system of Indri (Huber 2017: 34)

### 3. Mundu-Baka

- + Winkhart (2015) without any hint toward a gender distinction in pronouns or in any other way but situation in fact similar to Gbayaic, Raga etc.:
- asymmetric grammatical behavior of animate nouns: basic 3rd-person pronouns for animates (humans, animals, personified objects etc.) as opposed to absence of overt reference to inanimates or reference by means of deictic elements, a generic noun or repetition of identical noun
- > Monzombo (see also §2.3 on Mundu, Mayogo, Baka)
- plural enclitic *-ō* less restricted with animate nouns (Boyi 1983: 245)
- explicit animacy-based gender by means of distinct pronouns

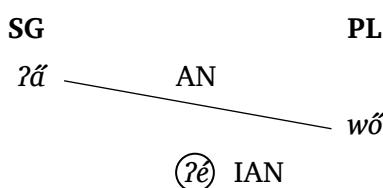


Figure 5: The animacy-based pronominal gender system of Monzombo (Boyi 1983)

### 4. Bandaic

- + various types of asymmetric noun behavior including 3rd-person pronoun bias for animates
- > Mono (Kamanda Kola 2003: 269-79, 443-7)
  - a) plural marking (by prefix *à-/àlà-*) restricted to animate nouns or their quality attributes (Kamanda Kola 2003: 180, 247-259, 281-2, 288-9)
  - b) constructions with genitive linkers interact with animacy features of both nouns (Kamanda Kola 2003: 324-46)
  - c) ‘many’ = *àgà* for inanimate vs. *úkpú/ílī* for animate nouns (Kamanda Kola 2003: 318)

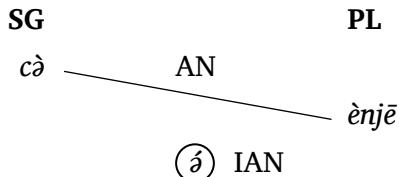


Figure 6: The animacy-based pronominal gender system of Mono (Kamanda K. 2003)

- + largely parallel situation in all better described Bandaic languages - see Tingbo-nyi-Zonga (1978: 68-9, 82-8, 94-6, 98-102) for Mbandja, Cloarec-Heiss (1986: 45, 58, 71, 81, 95, 100-1, 104, 203-6, 218) for Bambari-Linda, Sampson (1997) for Ndele-Tangbago

## 5. Ngbandic

- + 3rd-person pronouns refer largely to animate entities, while Ø (or other proforms like demonstratives) for inanimates, e.g., Northern Ngbandi (Toronzoni 1998: 271-92)

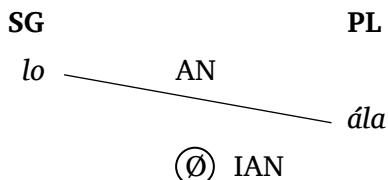


Figure 7: The animacy-based pronominal gender system of Ngbandi (Toronzoni 1989)

- + various types of asymmetric noun behavior:
- a) plural “word”~prefix á restricted to or at least strongly biased toward animate nouns (cf. Toronzoni (1989: 208-14) on Ngbandi, Samarin (1963: 127, 132-4) on Sango)
- b) genitive linker *tí* for inanimate vs. *té* for animate possessors (Toronzoni 1989: 313-6)
- c) Wh-elements and construction divided according to animacy (Toronzoni 1989: 493-4)

- (6)a. *zé*      *hándà*    *nákó*  
leopard    outwit    turtle  
Le léopard a trompé la tortue.
- b. *zo*      *hándà*    *nákó*    *nà?*  
person    outwit    turtle    AN.INTERR  
Qui a trompé la tortue? (Toronzoni 1989: 493-4)

- (7)a. *nzéngj*    *ho*    *lóngj*    (proverb)  
fatigue    kill    snake  
La fatigue a tué la vipère.
- b. *yé*      *ho*    *lóngj*    *ne?*  
thing    kill    snake    IAN.INTERR  
Qu'est-ce qui a tué la vipère? (Toronzoni 1989: 494)

Gender-number	Pronoun	Interrogative
AN	<i>lo/ála</i>	<i>zo ... nà</i>
IAN	Ø	<i>yé ... ne</i>

Table 4: Pronoun system of Northern Ngbani (after Toronzoni 1989)

## 6. Zandic

- + less common systems with semantic sex-based elaboration within animate macrogender
- + good description of Zande, inconclusive analysis of other languages
- > more extensive treatment of semantics of animate gender: Gore (1931), Claudi (1985)

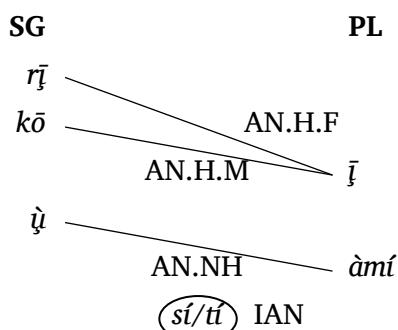


Figure 8: The pronominal gender system of Zande (after Boyd n.d.)

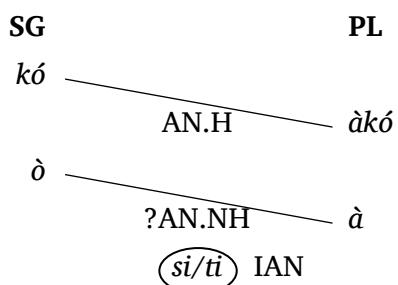


Figure 9: The pronominal gender system of Nzakara (after Tucker 1959)

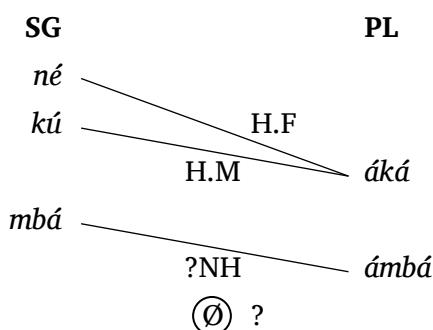


Figure 10: The pronominal gender system of Barambu-Pambia (after Tucker 1959)

- + asymmetric noun behavior:
- plural prefix *à-* with bias toward animate nouns in Nzakara (Tucker 1959: 119, 140-1)
- inanimate zero pronominalization with prepositions across Zandic (Santandrea 1965: 64-6)

## 7. Mbaic

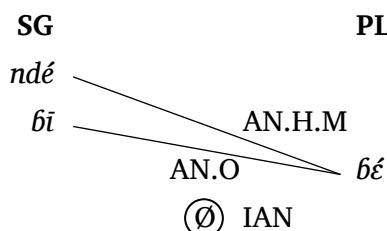
- + unique among Non-Bantu Niger-Congo of the area (cf. Pasch 1986, Corbett 1991: 184-8):
  - a) all languages with Niger-Congo type system, not obviously cognate nor Bantu interference
  - b) 3 of 4 languages with additional normally animacy-based pronominal gender system  
untypical for Niger-Congo but typical for the area
- > each language with its own complex configuration of nominal classification, original situation assumed to be still represented by Mba

Language	Ndunga	Mba ?and Proto-Mbaic	Dongo	Ma
Niger-Congo type inflection	Yes	Yes	Yes	Yes
Niger-Congo type gender	± human	± human	± animate	-
Pronominal gender	± human	± animate	± animate	± animate

Note: frame = canonical Niger-Congo, shading = typical for the area but untypical Niger-C.

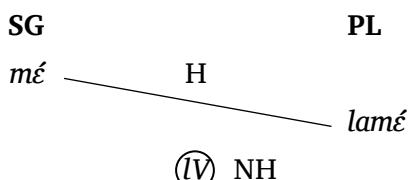
**Table 5: Summary of gender-based noun classification in Mbaic**

- + Mbai: 2 systems - semantic-formal human-based of Niger-Congo type vs. pronominal with animacy- **and sex-based** semantics similar to Zandic (Fiedler, G. and W. 2021)
- > results in so-called “concurrent noun classification” (Fedden and Corbett 2017)
- > pronominal system encroaches on agreement contexts of Niger-Congo type system



**Figure 11: The pronominal gender system of Mbai (Fiedler, G. and W. 2021)**

- + Ndunga: **human-based pronominal** gender system in line with human-based Niger-Congo type system, but most strongly exposed to contact with Bantu languages with particularly notable effects on the nominal system (cf. Pasch 1987, 1988)
- > likely contact interference: Mbai-type ± animate to Bantu-type ± human gender system



Note: non-human nouns are further sub-classified within the inherited “noun class” system

**Figure 12: The pronominal gender system of Ndunga (after De Boeck 1956)**

- + Dongo: single gender system by aligning Niger-Congo type system with animacy-based pronoun system; *wé/yé* = demonstratives of major inanimate gender 1/2
- Niger-Congo type system based on elaborate agreement beyond pronouns but semantically parallels pronoun system: *zé/zu* corresponds to 1a/2a but *wé/yé* to all other genders

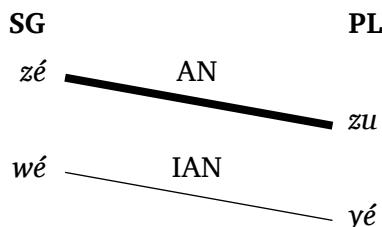


Figure 13: The pronominal gender system of Dongo (after Pasch 1986)

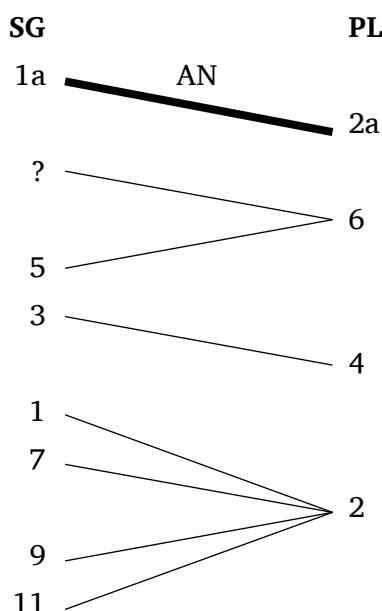


Figure 14: Formal gender system of Dongo with 10+ agreement classes

- + Ma: loss of Niger-Congo type agreement system under retention of nominal inflection and animacy-based pronoun system with additional sex-based human animate distinction
- > highly similar to Zandic - ?contact-induced, accompanied by binary ± animate agreement

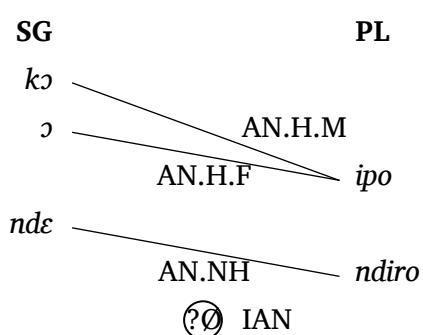


Figure 15: The pronominal gender system of Ma (after Pasch 1986)

## 2.2.3 Central Sudanic

### 1. Mainstream

- + no signs of animacy-based gender and little asymmetric noun behavior in Lenduic (Deleu 1934, Tucker 1940, Kutsch-Lojenga 1994) and Mangbetu-Asua (Larochette 1958)
- + no signs of gender but some asymmetric noun behavior in Moru-Madi (e.g., in Ma'di, Blackings and Fabb 2003: 363, 378, 399) and Mangbutu-Efe (Vorbichler 1968: 414)
- > Lese: genitive construction depends on possessor (in)animacy, goal postposition *-ni* with inanimates vs. *-bɔ* for animates (Vorbichler 1965: 90-1; 1968: 410-footnote 2, 414)

- (8)a. *mesà-ni*  
 table-IAN.DIR  
 to/away from the table
- b. *àfɔ-ba-ni*  
 father-at-IAN.DIR  
 to/away from father
- c. *àfa-bɔ*  
 father-AN.DIR  
 to my father
- d. *ura-bɔ*  
 animal-AN.DIR  
 to the animal (Vorbichler 1965: 90-1)

### 2. Kresh, Aja, Birri

- + Kresh: “neuter” pronoun behavior amounts to inanimate reference (Santandrea 1976: 98)
 

The following may be taken as general rules about the matter, with a great allowance for exceptions. “Our” neuter pronoun is normally left out in these languages, both as a subject and as an object. If stress is laid on it, a suitable demonstrative may replace it. When speaking of a particular object, the word “thing” is frequently heard, usually followed by a demonstrative. For the plural, the pers.[onal] pron-[oun] is employed when clarity of speech is required. This is always done when speaking of animals, unless there are other terms which replace it: e.g. a demonstrative.
- + Aja: apparently similar situation as in Kresh (Santandrea 1976: 244-text 5, footnote 4)
- + Birri: no sign of animacy-based noun distinction (Santandrea 1966: 203)

### 3. Bagiro (Bongo-Bagirmi)

- + Bongo-Bagirmi without signs of animacy-based gender and noun behavior except:
- > Bagiro~Furu spoken on the Ubangi River in the vicinity of Ubangi and Bantu languages
- + animacy-based singular pronoun distinction at least in possessives: mid-tone suffix for animate vs. *ná* for inanimate possessor (*ná* also grammaticalized as DEF ?!from DEM, which complicates the picture) (Boyeldieu 2000: 74-5, 86-92, 98, 118-20)

- (9)a. *tàlā* < [tàlà-]  
mouth:3SG.AN.POSSR  
sa bouche [his/her mouth]
- b. *tàlā ná*  
mouth 3SG.IAN.POSSR~DEF  
le/la/son bord, ouverture, tranchant [the/its edge]
- c. *tàlā ná*  
mouth:3SG.AN.POSSR DEF  
sa bouche en question [his/her mouth (already referred to)] (Boyeldieu 2000: 91)

- + asymmetric noun behavior:

- a) no pronominal resumption of inanimate noun as verbal object in relative clause  
(Boyeldieu 2000: 111-3) and clause chaining subject (Boyeldieu 2000: 151, 211)
- b) demonstratives partly select noun according to animacy (Boyeldieu 2000: 121)

#### 2.2.4 Central African forager languages

- + three principal types of languages regarding noun classification

(I) no or few signs of any gender and asymmetric noun behavior in Central Sudanic:  
Efe (Mangbutu-Efe) (Smith 1938), Asua (Mangbetu-Asu) (Beltrame 1876-7)

(II) Bantu-typical gender systems - mostly outside the geographical area focused on here:

- a) without animate agreement and asymmetric noun behavior:  
Bongwe (B303) (Walker 1937), Yaka (C104) (Thomas and Bahuchet 1991), Nkundo Twa (C61) (Hulstaert 1948), Foto (C611) (Hulstaert 1978), Jofe (C) (Hulstaert 1986)
- b) non-human animates can agree in gender 1/2:  
Gyeli (A801) (Grimm 2015: 128): with recurrent inflection change  
Konda Twa (C61E) (Motingea M. 1994: 358-9): without inflection change

Il convient ainsi de faire remarquer que le fait que tout substantif appartenant à n'importe quelle classe affecté du trait [+animé] impose parfois dans l'accord du verbe le préfixe de cl.1 ou 2 n'est pas une irrégularité en soi. Il s'agit d'un phénomène très repandu dans les langues bantoues du Nord-Ouest.

- (10) *n-jou                  bá-kó-y-á                  ené    n-goda*  
 N.10-elephant 2-PST-come-FV to N.9-field  
 les éléphants sont venus au champ. (Motingea Mangulu 1994: 358-9)

(III) bipartite animacy-based gender systems in both Bantu and Ubangi:

- > Kango and Sua 1 aka Ituri “Mbuti” within Bira-Komo Bantu (Bantu D311): so reported explicitly by Vorbichler (e.g., 1968: 412-5) but no concrete linguistic documentation
- > Baka (Mundu-Baka): genitive linker -á restricted to animate possessors (Djoupée 2017: 140-1, 176-8); 3rd-person pronouns *?é/wó* with default animate reference, *?é* with inanimate reference only under specific conditions, generally zero for inanimate objects (Djoupée 2017: 96-9, 198, 274, 281, 283)

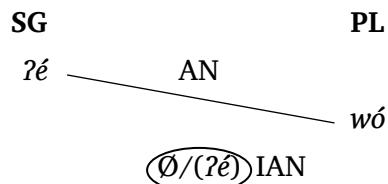


Figure 16: The animacy-based pronominal gender system of Baka (Djoupée 2017)

All profiles are inconspicuous vis-à-vis their closest relative among farmer varieties.

## 2.3 Diachrony of noun classification in Central Africa

- + three basic types involving a simple pronoun system:
  - I preferential grammatical treatment of animate nouns, including overt pronominal anaphor but no clear paradigmatic grammaticalization opposed to inanimate nouns
  - II obligatory pronominal anaphor for animate nouns vs. zero for inanimate nouns
  - III overt but distinct pronominal anaphor for animate and inanimate nouns
- + further formal and functional change can affect these three basic types of animacy-based noun classification:
  - a) different macrogender distinction of ± human
  - b) sex-gender elaboration of the higher macrogender value
  - c) conflation of gender encoding with nominal number

### 2.3.1 Language type I: Noun classification by grammatical asymmetry

- + central role of animacy in grammatical domains other than narrow gender in line with “animacy”~nominal hierarchy: **Human** > **Animate** > **Inanimate** > **Abstract**
- > two cross-linguistically recurrent choices where the most basic distinction (including in a gender system) is made ~ macrogender in terms of Nichols (1992):
  - (I) **Human** vs. non-human (subsuming all nouns to the right of Human)
  - (II) **Animate** (subsuming human + animal + other language-specific nouns) vs. inanimate
- > differential treatment of nouns in connection with their semantic features attested in a wide range of nominal constructions cross-linguistically (cf. also Corbett 1991: 31-2):
  - a) number marking (Smith-Stark 1974)
  - b) flagging including adpositional constructions (Malchukov 2008)
  - c) possessor-centered split in attributive possession (cf., e.g., Güldemann 1999)
  - d) distinction in interrogative pronouns ‘who’ vs. ‘what’ (Idiatov 2007)
  - e) reference tracking by means of overt pronouns and zero pronominalization
- > Fraurud (1996: 67) “pronominalization propensity” of hierarchically high referents:  

Another example of differences between human and non-human [as well as animate and inanimate] referents with regard to NP form is seen in data on what may be called ‘pronominalization propensity’, showing that human referents are more often referred to by pronouns than non-human referents. [...] In general, it can be concluded that the animacy of the referent, and in particular whether or not it is human, is a factor that affects several phenomena at the discourse level as well as at the grammatical level. This is quite natural in the perspective of an anthropocentric cognitive ontology, which is structured around ourselves and our fellow human beings, and where everything else is described from the point of view of human beings.

- + methodological challenge: all systems with inanimate zero anaphor are hard to detect due to descriptions that are not alert to the possibility of a strong trend to or even a categorical distinction in pronominalization strategies

#### 1. Mundu (East Mundu-Baka)

- + no reference to differential treatment of nouns in Vallaeys (1991), however:
- 3SG pronouns *ngu/ah* for animate referents in extensive natural data of Jeffreys (1984)
- inanimate reference by  $\emptyset$ , DEM, noun 'c 'thing' rather than ordinary pronouns reported explicitly by Santandrea (1969)

- (11)a. *ma*    *mèrè*     $\emptyset$     *mé-rá*  
       1SG    make                    self-1SG  
 b.    *ma*    *mere*     $\epsilon$     *me-ra*  
       1SG    make    thing    self-1SG  
 c.    *ma*    *mere*=*ne*    *me-ra*  
       1SG    make=DEM    self-1SG
- I did/made it myself. (Santandrea 1969: 111)

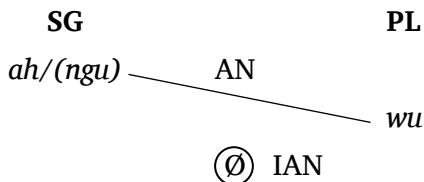


Figure 17: The apparent pronoun use in Mundu

## 2. Mayogo (East Mundu-Baka)

- + no reference to noun classification in Sawka (2001), however:
- simple pronoun system with two 3rd-person forms conveying a number distinction, in all examples with animate reference
- term “(in)animate” used in connection with locative expressions with pronominal possessors - Sawka (2001: 89):

locative prepositions can undergo reduplication of the first syllable to form locative nouns. [...] Reduplicated locative nouns are only used to replace inanimate beings as shown in (153) [= (11)b.] but not for animate beings as shown in (154) [= (11)a.].

- (11)a. *sa*    *ani*  
       under 3[AN.]SG  
       under him[/her = animate] (Sawka 2001: 89)
- b.    *sa*    *ndula*    >    *sa-sa*              [\**sa ani*, \**sa-∅*]  
       under tree                    REDUP-under  
       under the tree            under it

> (11)b. reflects in fact zero pronominalization with inanimate referents in conjunction with a phenomenon of a certain class of nouns: *sa-∅* for ‘under it (= tree)’ in (11)b. ungrammatical - only alternative in context is reduplication (cf. Sawka 2001: 51-4)

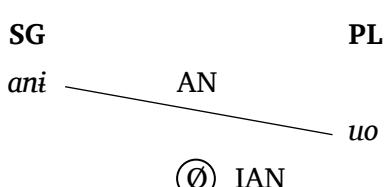
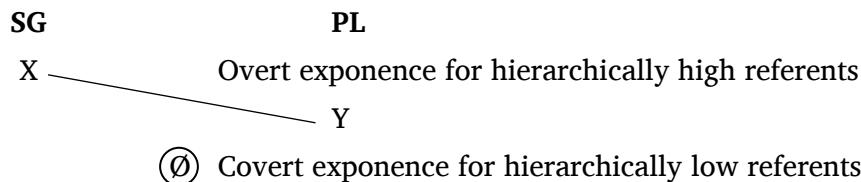


Figure 18: The apparent pronoun use in Mayogo

### 2.3.2 Language type II: Partly covert gender by overt pronoun(s) for animate vs. zero for inanimate nouns/referents

- + biased reference tracking as the source of grammatical gender by turning a statistical tendency in discourse to a categorical distinction in grammar



**Figure 19: Partly covert pronominal gender system steered by the nominal hierarchy**

A covert animacy system contains, in some sense, only one class: the closed or delimitable set of human or animate nouns. The nonhuman or inanimate nouns have the nature of a residual category rather than a positive class. (Nichols 1992: 133)

- + emergence of a simple binary pronominal gender system from asymmetric noun behavior
- > explains naturally why hardly any language in Central Africa has “canonical” phrasal gender agreement beyond animacy-based pronouns
- + reiterates the already recognized role of grammatical “zero” in paradigmatic contrasts:  
Zero, or the absence of form, is a member of a set of (meaningful) linguistic elements (only) if
  - a. there are other elements in the set;
  - b. at least one of the other elements is not a zero element; and
  - c. zero is related to each of the other elements in the set in the same way that each of the other elements is related to each of the other elements in the set. (Sanders 1988: 164-165)
- > current findings bring together two so far separate strands of relevant research:
  - a) possible “grammaticalization of zero” (e.g., Bybee 1990)
  - b) central role of grammatical zero in anaphor and pronominalization (e.g., Givón 2017)

### 2.3.3 Language type III: Overt gender by pronoun(s) for animate and inanimate nouns/referents

- + shift to an overt system by grammaticalization of an overt inanimate pronoun from sources that were earlier facultative/conditioned substitutes of zero anaphor
- often number-insensitive > see §2.3.6 below
- > accounts for the systems in Indri, Gbayaic, Monzombo (Mundu-Baka), Bandaic



Figure 20: From a partly covert to an overt animacy-based pronoun system

- + attested examples for inanimate pronoun sources in Central Africa:
- generic noun 'thing': Zandic, Bandaic (Claudi 1985: 127-31); Monzombo (Winkhart p.c.)
- demonstrative: Dongo (Pasch 1986); Zande, Nzakara (Boyd p.c.)
- other determiner: Eastern Gbayaic *ma* 'certain (one)' (Moñino 2010: 2)

### 2.3.4 Animacy-based vs. human-based macrogender distinction

- + some cases in Central Africa are systemically identical with animacy-based types I-III but have  $\pm$  human macrogender: Ndunga, possibly Barambu-Pambia
- binary system overall unstable semantically
- > possibly secondary shift, e.g., Ndunga under Bantu influence
- + available information in sources potentially misleading regarding the potentially subtle semantic difference of  $\pm$  animate vs.  $\pm$  human
- Gbayi (Ngbadic) reported by Boyd (1988: 44) to have overt pronouns restricted for **human** referents as opposed to alternative means for non-human nouns but in p.c. does not exclude a  $\pm$  animacy distinction

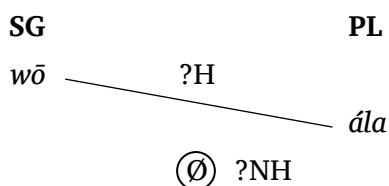


Figure 21: The pronoun system of Gbayi (after Boyd 1988: 44)

### 2.3.5 (Sex) gender elaboration within the higher macrogender

- + elaboration of marked animate gender by further sex-based distinction with human nouns
- Dahl (2000a: 102) regarding the relation of animacy- and sex-based gender systems:
  - (3) All animates above the cutoff point [on the nominal hierarchy] may either be assigned to the same gender or there may be further divisions.
  - (4) If the principle referred to in (1) [see §1 above] distributes animate nouns among different genders, sex is the major criterion.
- > accounts for Central African cases in Zande, Barambu-Pambia (Zandic); Ma, Mba (Mbaic)

### 2.3.6 Gender (not) conflated with number

- + number-insensitive pronouns recurrent, in line with bias induced by nominal hierarchy
- > overt plural form regular for hierarchically high nouns (Smith-Stark 1974):
  - a. through plural suppletive form - recurrent, unremarkable option
  - b. through plural morphology > gender marker originally number-insensitive!

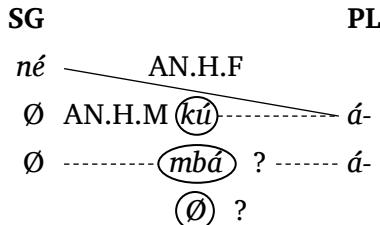


Figure 10a: The pronoun system of Barambu-Pambia (after Tucker 1959)

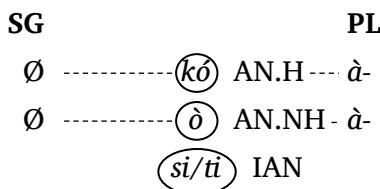


Figure 9a: The pronoun system of Nzakara (after Tucker 1959)

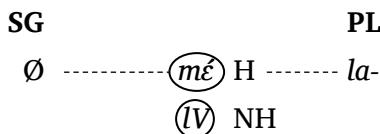


Figure 12a: The pronoun system of Ndunga (after De Boeck 1956)

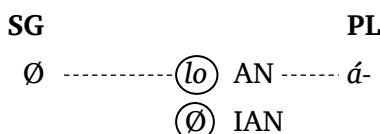


Figure 7a: The pronoun system of Ngbandi (after Toronzoni 1989)

> Ndunga and Ngbandi with just one gender and one number marker, each opposed to zero

### 3 Discussion

#### 3.1 The areal pattern in Central Africa and its historical source

Language (group) Feature	<i>Proto-Bantu</i>	Local Bantu	Core Ubangi	Gbayaic	Central Sudanic	Modern forager	<i>Pre-shift forager</i>
Grammatical asymmetry	NO	(YES)	YES	YES	(YES)	(YES)	?
Gender	NO	(YES)	YES	YES	(YES)	(YES)	?

Table 6: Animacy-based noun classification in language groups of Central Africa

- + northern rainforest-savannah transition in Central Africa as a compact geographical area with a clear bias toward animacy-based noun classification of different kinds
- attested in all language families present in the area at issue but not in members languages of Bantu and Central Sudanic, when outside the area
- gaps in the area by languages of the same two families assumed to be the latest arrivals: Bantu from the south(west), Central Sudanic from the north(east)
- language contact likely involved in binary noun classification: Bagiro (Bongo-Bagirmi); numerous Bantu languages of different subgroups (involves macrogender shift from human- to animacy-based system); *Ndunga* (Mbaic)
- > **animacy-based classification is an areal trait** - “differential diffusability of nominal classification” (Seifart 2018: 28): more likely with semantically transparent system
- + animacy-based noun classification with different entrenchment across language groups:
- NO: innovative in Bantu, not entrenched deeply in Central Sudanic and **modern foragers**
  - > does not exclude but also does not support Vorbichler’s substrate hypothesis
- YES: widespread in UBANGI including Gbayaic with possible proto-stage reconstructions
  - > **likely source of the areal trait**, suggests early presence in the relevant area!

#### 3.2 Animacy-based noun classification in the Macro-Sudan Belt

- + Central Africa is part of Macro-Sudan Belt, areal trait does not transgress its borders
- > another, at least sub-areal, MSB feature - question of status in the west
- + gender systems with basic macrogender distinction also in the western Macro-Sudan Belt:
  - a) Ijoid as a (?genealogically independent) case with pronominal animacy-based system
  - b) secondary animacy-based gender system also in some Niger-Congo languages, going hand in hand with a reduction of a multiple-gender to a binary gender system:
    - Potou-Tano, Ghana-Togo-Mountain (see Güldemann and Fiedler 2019, submitted)
    - some languages of the Gur family further north: Moba, Dagbani (+ restructured bipartite systems retaining the ± human distinction: Koromfe, Pana, Samoma, Konni)
  - c) Kru as a (?genealogically independent) case with pronominal human-based gender system

+ distribution of animacy-based gender in West Africa still unclear but certainly more dispersed than in the eastern half of the Macro-Sudan Belt

> however, more abstract parallel between these two portions of the macro-area:

**Central Africa:** ± animate system well entrenched

human-based gender system of Niger-Congo (represented by Bantu)  
encroached from the south (unique case of Mbaic aside)

> change toward locally entrenched ± animate pattern according to **south-north cline**

**West Africa:** arguable remnants of older ± animate pattern in the south: Ijoid

human-based gender system of Niger-Congo encroached from the north

> restructuring and eventual shift to ± animate system according to **north-south cline**

**The reversed areal pattern in the eastern and western half of the Macro-Sudan Belt could be explained in a unified way if one assumes that animacy-based noun classification was a trait more deeply entrenched in equatorial rainforest regions.**

**Niger-Congo languages encroached from the neighboring savannahs, either replacing the earlier gender pattern or restructuring toward it, which happened early on in West Africa from the north and later in Central Africa from the south(west).**

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## Abstract

While investigating the linguistic diversity of the Ituri rainforest in Central Africa, Vorbichler (e.g., 1963) observed that several languages of this zone display grammatical traits reflecting a categorization of nominal referents according to a  $\pm$  animate distinction. However, only few languages of the area have been described explicitly to possess an overt animacy-based gender system. Based on a wider survey of Central African languages, I show that this is because they tend to implement noun categorization grammatically in a far more extensive sphere of language structure that concerns behavioral properties of nouns. These can but need not lead eventually to full-grown gender systems. The cross-linguistic picture of noun classification in the area I outline in this article is thus not only relevant for African linguistics but also informs the general question of how gender systems emerge and develop.